

**FORMALITIES LETTER**

\*OC00000005204819\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/553,923	04/20/2000	Jim Hunter	LABC.69892

J David Wharton Esq  
Shook Hardy & Bacon LLP  
1200 Main Street  
Kansas City, MO 64105-2118



Date Mailed: 06/27/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION****FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

Sector #

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jim Hunter, et al.

Attorney Docket No. LABC.69892

Serial No. 09/553,923

Group Art Unit: 3624

Filed: April 20, 2000

CERTIFICATE OF MAILING  
37 C.F.R. 1.8

Title: Biological Safety Cabinet  
with Improved Air Flow



I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231 on

8/22/00  
Date

*[Signature]*  
Signature

RESPONSE

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231



08-25-2000

U.S. Patent & TMO/TM Mail Rpt. Dt. #31

Dear Sir:

Responsive to the Notice to File Missing Parts dated June 27, 2000, enclosed herewith is Joint Declaration for Patent Application. Also enclosed is a copy of the Notice, and the required fee of \$65.00.

Being submitted simultaneously herewith is a Statement Claiming Small Entity Status (copy enclosed).

The Commissioner is hereby authorized to charge any additional fee which may be required, or credit any overpayment, to Deposit Account No. 19-2112. A duplicate copy of this response is enclosed.

Respectfully submitted

*[Signature]*  
J. David Wharton  
Reg. No. 25,717

JDW/sjm  
Enclosures

RECEIVED  
AUG 31 2000  
OICE/JCWS

SHOOK, HARDY & BACON L.L.P.  
One Kansas City Place  
1200 Main Street  
Kansas City, Missouri 64105-2118  
816/474-6550

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jim Hunter, et al.

Serial No. 09/553,923

Filed: April 20, 2000

Title: Biological Safety Cabinet  
with Improved Air Flow

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) D.C. 20231, on:

Date

8/22/00

Signature



**SUBMISSION OF SMALL ENTITY AND  
REQUEST FOR CREDIT TO DEPOSIT ACCOUNT**

Assistant Commissioner for Patents  
Washington, D.C. 20231


Dear Sir:

On May 1, 2000, Deposit Account No. 19-2112 was charged \$1,368.00 under fee codes 101, 102 and 103 (Control Nos. 160, 161 and 162) in connection with filing of the subject application. Applicant's application cover letter authorized charging the filing fee based upon small entity, but apparently no small entity statement was included.

The Deposit Account holder was unaware this charge had been made, and thus the need to request a credit and submit the small entity statement, because the Deposit Account statement was not received until early July. By that time, however, the two month time period for requesting a credit and submitting the small entity statement had passed.

Submitted herewith is a freshly executed Verified Statement (Declaration) Claiming Small Entity Status. In view of the delay on the part of the Office in mailing the Deposit Account Statement, which delay made it impossible to submit this request within the two month time period, it is respectfully requested that a credit in the amount of \$684.00 (representing the difference between the fee charged and that required for small entity applicants) be applied to Deposit Account No. 19-2112 at this time.

Respectfully submitted,



J. David Wharton  
Reg. No. 25,717

JDW/nms  
enclosures  
SHOOK, HARDY & BACON L.L.P.  
One Kansas City Place  
1200 Main Street  
Kansas City, Missouri 64105-2118  
(816) 474-6550